

KNOWLEDGE BASED DECISION MAKING

Topic: Eliminate the position of Compliance Liaison

Background information – What we know

Historical perspective –

The position of Compliance Liaison was originally established to ensure that certain legal requirements for AFG of Ohio, Inc., were met. However, a lack of clear definition of the “what was required” resulted in AFG of Ohio paying penalties for failing to file reports with the state of Ohio, as well as jeopardizing our non-profit status with the IRS. These requirements were further clarified at the 2016 Fall Assembly when the Compliance Liaison duties were essentially rewritten.

This has not, however, relieved AFG of Ohio, Inc., from the responsibility of filing the required forms. In reviewing the Ohio Revised Code regarding Not For Profit Corporations, the ultimate responsibility lies with the Chief Executive Officer of the Corporation. For AFG of Ohio, this is the Area Chairman, not the Compliance Liaison.

Essentially, this becomes double-headed management as the Compliance Liaison is receiving these reports, then passing them along to the Area Chairman. They should go directly to the Area Chairman.

Existing motions that pertain to this topic: None

What do we know about our membership's needs, wants and preferences? Our members expect our elected officers to effectively manage the affairs of the corporation.

What do we know about our capacity (resources)? This eliminates double-headed management. The Area Chairman will know, for a fact, that the reports have been filed as the receipts for those filings will go directly to the Area Chairman.

What are the implications of our choices? (pros and cons)

Pros: We “keep it simple” and “avoid double-headed management”.

Cons: None.

What we wish we knew, but don't: Would we wind up in the same position in years to come if we don't get the copies of the reports to the responsible officers?

Questions and Clarification – no timing or microphone limitations

Need for motion? Yes – six motions are required.

Presented by: Handbook Committee

HANDBOOK MOTIONS

MOTION # 1

On page 14 of 45 in the Handbook of General and Committee Working Procedures For Al-Anon Family Groups of Ohio, Inc., # 5 Compliance Liaison –

Delete items 3 and 4, which read,

3. Prepares the change of Statutory Agent of Nonprofit Corporation to be filed with the Secretary of State's Office. The form shall name the Committee chairman as the Statutory Agent and shall be completed within 90 days of taking office. The form shall be mailed to the Treasurer, who will prepare the remittance and mail the form.
4. Prepares the Statement of Continued Existence of Nonprofit Corporation to be filed with the Secretary of State's office in a timely manner-currently every five years. The form shall be mailed to the Treasurer, who will prepare the remittance and mail the form.

And insert them, in their entirety, on page 10 of 45, under Area Chairman Responsibilities and number them 8) and 9). – and on page 14 of 45, under Compliance Liaison, renumber 5 to 3.

MOTION # 2

On page 14 of 45 in the Handbook of General and Committee Working Procedures For Al-Anon Family Groups of Ohio, Inc.,

Delete 5 - Compliance Liaison and items 1, 2 and 3 in their entirety;

Renumber 6 - Web Master to 5 - Web Master; and

Renumber 7 – Registration Committee to 6 – Registration Committee

MOTION # 3

On page 13 of 45 in the Handbook of General and Committee Working Procedures For Al-Anon Family Groups of Ohio, Inc., Special Standing Committees –

Delete 5. Compliance Liaison (10/01 – motion #7 & 5/03 – motion #3) , in its entirety and renumber 6 and 7 to 5 and 6.

BY-LAWS MOTIONS

MOTION # 4

On page 5 of 10 in the By-Laws For Al-Anon Family Groups of Ohio, Inc.

Article V Committees, B Special Standing Committees

Delete 5. Compliance Liaison (10/01 – motion #7 & 5/03 – motion #3), and renumber 6 and 7 to 5 and 6.

MOTION # 5

On page 7 of 10 in the By-Laws For Al-Anon Family Groups of Ohio, Inc.

In “Instructions for implementing the Minimum Safety and Behavioral Requirements prior to 12/31/04. Districts Representatives should:”

Change “6 –Compliance Liaison” to “Area Alateen Process Person”, so the amended section reads, “Area Alateen Process Person will keep a copy for records and send original to WSO for authorization.

MOTION # 6

On page 7 of 10 in the By-Laws For Al-Anon Family Groups of Ohio, Inc.

In Part A. Ohio Area 44 Processes for Implementation of Alateen Requirements.

Section- “ Responsibilities of the Area Alateen Process Person (AAPP),”

Delete 1 in its entirety “The Ohio Area 44 Compliance Person will serve as the Area Alateen Process Person (AAPP).”, and renumber the remaining items, from 2 – 8 to 1 – 7.